PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael P. Wagner

Application No.: 09/480,390

Filed: 01/11/2000

Group No.: 2172

Examiner: To, B.

For: System, Device, and Method for Providing Mutual Exclusion

for Computer System Resources

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RECEIVED

DEC 0 5 2003

Technology Center 2100

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

Applicant is other than a small entity. 2.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee" Mailing Label No.

(mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent as

Date: December 2, 2003

Jeffrey T. Klayman

(type or print name of person certifying)

^{*} Only the date of filing (\$ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)			ol. 3)	(OTHER THAN A SMALL ENTITY				ΤY
	CLAIMS										
	REMAINING	HIGHE	ST NO.								
	AFTER	PREVI	OUSLY	PRE	SENT					ADDIT.	
	AMENDMENT	PAID FOR		EXTRA		RATE				FEE	
TOTAL	34	_	34	=	0	х	\$	18.00	=	\$	0.00
INDEP.	4	_	4	=	0	х	\$	86.00	=	\$	0.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM + \$ 0.00									=	\$	0.00
	•							TOTAL			
•							$A\Gamma$	DIT. FEE		\$	0.00

No additional fee for claims is required.

FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 19-4972.

If an additional fee for claims is required, charge Account No. 19-4972.

Date: December 2, 2003

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01956/00126 283253.1







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael P. Wagner

Att'y Docket: 1956/126

Serial No.:

09/480,390

Art Unit:

2172

Filed:

January 11, 2000

Examiner:

To, B.

Title:

SYSTEM, DEVICE, AND METHOD FOR PROVIDING MUTUAL

EXCLUSION FOR COMPUTER SYSTEM RESOURCES

CERTIFICATE OF MAILING

I hereby certify that this document, along with any other papers referred to as being attached or enclosed, is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA-22313-1450 on December 2, 2003.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 DEC 0 5 2003

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INTERVIEW SUMMARY AND RESPONSE TO OFFICE ACTION

Dear Sir:

Applicants acknowledge with appreciation the courtesy extended of a telephonic conversation held on August 14, 2003 between Mr. Jeffrey Klayman, Examiner To, and Primary Examiner Corrielus during which was discussed Applicant's response of June 13, 2003 and the Bacon reference addressed therein. Mr. Klayman explained how the present invention as claimed differs from Bacon and the other prior art of record. The Examiners expressed an opinion that ghost locks are similar to temporary locks as known in the art, and suggested that the claims be amended to further distinguish over temporary locks, for example, by specifying how ghost locks are used.

Applicant submitted proposed amendments on August 19, 2003 and August 20, 2003. Those proposed amendments were discussed during a